GREAT LAKES ADVISORS

MULTI-ASSET STRATEGY

GREAT LAKES ADVISORS®

A WINTRUST WEALTH MANAGEMENT COMPANY

OUR CLIENT FOCUSED MISSION:

Become a collaborative partner with our clients

Attain our clients' investment goals through proven strategies

Implement strategies with experienced, highly skilled professionals

Communicate clearly with a focus on exceptional client service

greatlakesadvisors.com

OVERVIEW

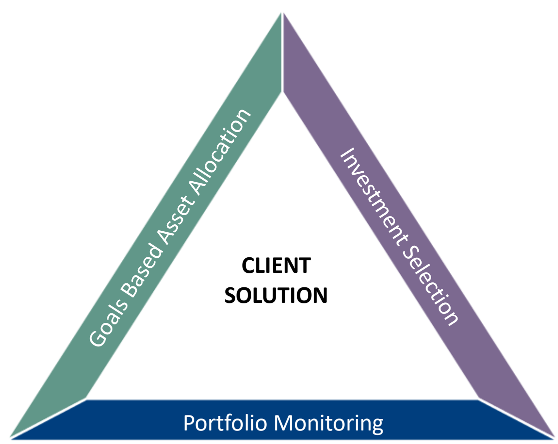
Great Lakes Advisors has been providing multi-asset solutions for our clients for over 30 years, since our inception. We act as collaborative partners, tailoring our portfolio solutions to incorporate clients' risk tolerances and return objectives. Acting as our clients' full discretion advisor, we can nimbly execute inflows, outflows, or other changes in the portfolio composition and asset allocation.

Tailored Advice

- Multi-Asset Strategy portfolios are customized to each client's unique goals and objectives.
- We utilize a combination of internal and external strategies across a diverse asset mix.
- External managers within allocation undergo a rigorous selection process and complement internal strategies.

PHILOSOPHY & PROCESS

Your solution within the Multi-Asset Strategy program is built upon three main principles:



Goals Based Asset Allocation

- We tailor portfolios to meet client-specific investment goals, while focusing on risk management.
- We believe a risk budgeted approach to asset allocation allows for greater risk-adjusted returns.

Diligent & Diversified Investment Selection

- We build a portfolio of uncorrelated asset classes across world markets, market capitalizations, and securities.
- Our asset class specialists utilize their industry expertise to provide best-in-class manager selection.
- We quantify how each decision contributes to creating a portfolio with the best expected risk-return characteristics.

Dynamic Portfolio Monitoring

- Our client portfolio managers monitor the asset levels each quarter and determine if rebalancing is necessary.
- Our process ensures constant and seamless portfolio alignment with client goals and risk tolerances.

ASSET CLASS UNIVERSE

We build a portfolio of uncorrelated asset classes across world markets, market capitalizations, and securities.

Equity

- U.S. Equity - Large Cap
- U.S. Equity - Mid Cap
- U.S. Equity - Small Cap
- International Equity - Large Cap
- International Equity - Small Cap
- Emerging Market Equity

Fixed Income

- U.S. Investment Grade Fixed Income
- High Yield Bonds
- Foreign Bonds
- Emerging Market Bonds

Alternatives

- Private Equity
- Private Debt
- Private Real Assets
- Non-Correlated Private Assets

Real Assets

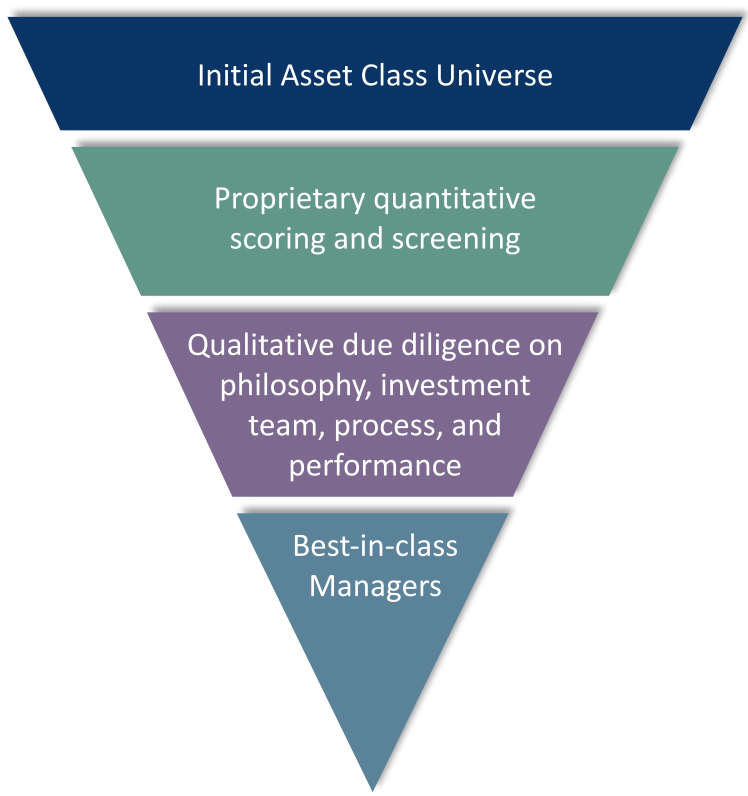
- Real Estate
- Commodities
- Infrastructure

INVESTMENT SELECTION

For each asset class we select appropriate investment strategies that are best suited for the portfolio.

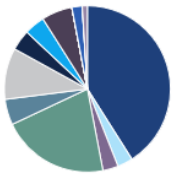
We employ a rigorous process for selecting both internal and external investment strategies.

- We start with the initial universe of Equity, Fixed Income, Alternatives, and Real Assets
- Initial screen for returns, size, style, consistency, and expenses eliminates the majority of managers
- Thorough examination of potential candidates narrows the field to a select few for each asset class
- Internal and External Strategies Selected



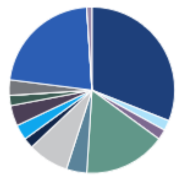
MULTI-ASSET STRATEGY SAMPLE PORTFOLIO SOLUTIONS[1]

Growth



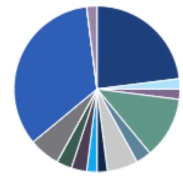
The Growth objective seeks to provide investors with a higher degree of price appreciation by investing in a diversified portfolio focused on equity securities.

Growth & Income



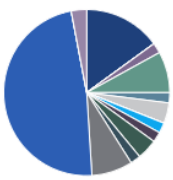
The Growth & Income objective seeks to provide investors with a higher degree of price appreciation by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.

Balanced



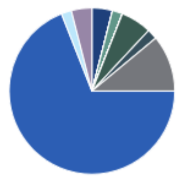
The Balanced objective seeks to provide investors with both price appreciation and current income by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.

Income & Growth



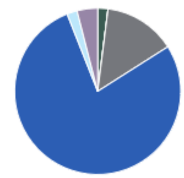
The Income & Growth objective seeks to provide investors with both current income and price appreciation by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.

Income



The Income objective seeks to provide investors with a combination of interest and dividends in order to increase current income by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.

Conservative Income



The Conservative Income objective seeks to provide investors a relatively predictable current income stream by investing in a diversified portfolio consisting of cash, fixed income, and credit securities.

- Large Cap
- Mid Cap
- Small Cap
- International Equity
- International Small Cap
- Emerging Market Equity
- Global REIT
- Commodities
- Infrastructure
- High Yield Bonds
- Emerging Market Debt
- US Fixed Income ST
- US Fixed Income
- Foreign Bond
- Cash

ABOUT GREAT LAKES ADVISORS

Founded in 1981, Great Lakes Advisors is headquartered in Chicago, Illinois. We offer a wide range of fixed income, equity, and multi-asset strategies across all market capitalizations. Our portfolio management teams bring expertise in ESG, Socially Responsible, Tax-Managed, and customized account solutions to our Institutional, Intermediary, Subadvisory, and Private Wealth clients across a wide range of investment vehicles.

[1] Asset allocation is subject to periodic review, may change based on market conditions, and is presented for discussion purposes only. Investment products such as stocks, bonds, and mutual funds are not insured by the FDIC or any federal government agency, not bank guaranteed or a bank deposit, and may lose value. Asset Allocation data as of 1/1/2024.

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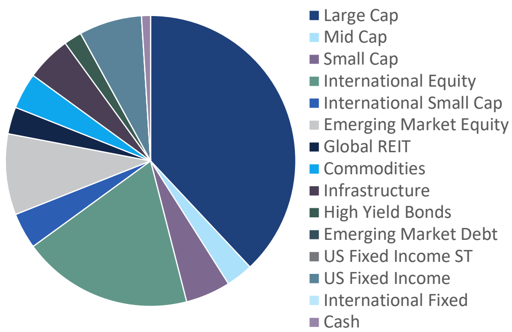
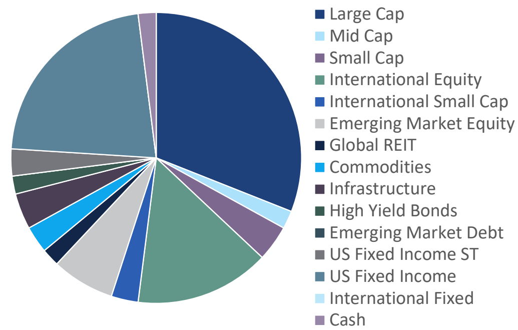
MULTI-ASSET STRATEGY SAMPLE PORTFOLIO SOLUTIONS[1]

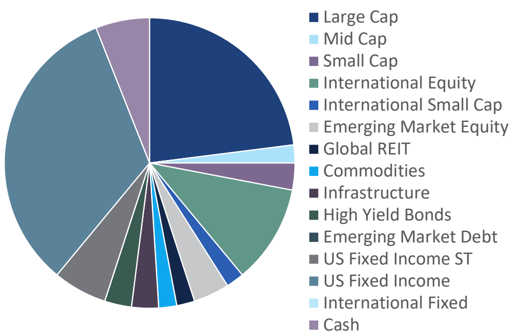
The **Growth** objective seeks to provide investors with a higher degree of price appreciation by investing in a diversified portfolio focused on equity securities.



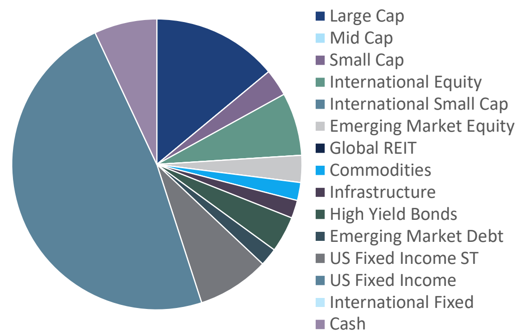
The **Growth & Income** objective seeks to provide investors with a higher degree of price appreciation by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



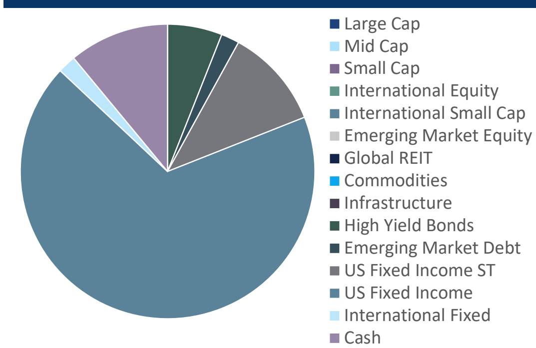
The **Balanced** objective seeks to provide investors with both price appreciation and current income by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



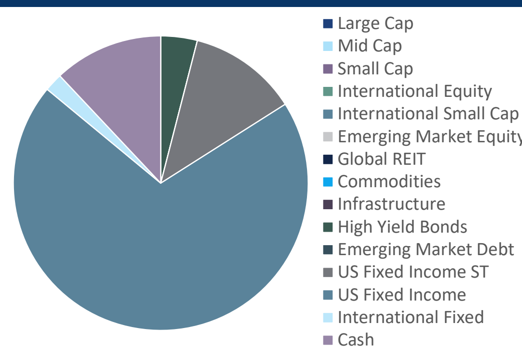
The **Income & Growth** objective seeks to provide investors with both current income and price appreciation by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



The **Income** objective seeks to provide investors with a combination of interest and dividends in order to increase current income by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



The **Conservative Income** objective seeks to provide investors a relatively predictable current income stream by investing in a diversified portfolio consisting of cash, fixed income, and credit securities.



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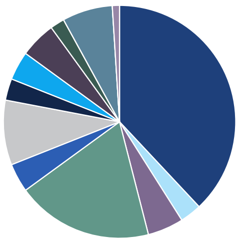
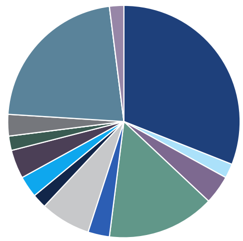


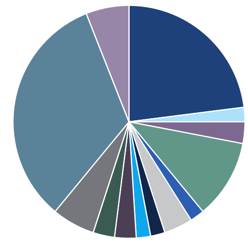
Large Cap	38%
International Equity	19%
Emerging Market Equity	9%
US Fixed Income	7%
Small Cap	5%
Infrastructure	5%
International Small Cap	4%
Commodities	4%
Mid Cap	3%
Global REIT	3%
High Yield Bonds	2%
Cash	1%

The **Growth & Income** objective seeks to provide investors with a higher degree of price appreciation by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



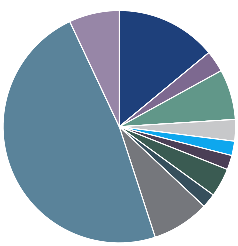
Large Cap	31%
US Fixed Income	22%
International Equity	15%
Emerging Market Equity	7%
Small Cap	4%
Infrastructure	4%
International Small Cap	3%
Commodities	3%
US Fixed Income ST	3%
Mid Cap	2%
Global REIT	2%
High Yield Bonds	2%
Cash	2%

The **Balanced** objective seeks to provide investors with both price appreciation and current income by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



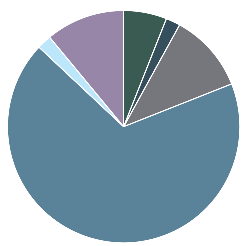
US Fixed Income	33%
Large Cap	23%
International Equity	11%
US Fixed Income ST	6%
Cash	6%
Emerging Market Equity	4%
Small Cap	3%
Infrastructure	3%
High Yield Bonds	3%
Mid Cap	2%
International Small Cap	2%
Global REIT	2%
Commodities	2%

The **Income & Growth** objective seeks to provide investors with both current income and price appreciation by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



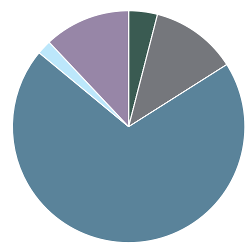
US Fixed Income	48%
Large Cap	14%
US Fixed Income ST	8%
International Equity	7%
Cash	7%
High Yield Bonds	4%
Small Cap	3%
Emerging Market Equity	3%
Commodities	2%
Infrastructure	2%
Emerging Market Debt	2%

The **Income** objective seeks to provide investors with a combination of interest and dividends in order to increase current income by investing in a diversified portfolio consisting of cash, fixed income, equities, credit, and real assets.



US Fixed Income	68%
US Fixed Income ST	11%
Cash	11%
High Yield Bonds	6%
Emerging Market Debt	2%
International Fixed	2%

The **Conservative Income** objective seeks to provide investors a relatively predictable current income stream by investing in a diversified portfolio consisting of cash, fixed income, and credit securities.



US Fixed Income	70%
US Fixed Income ST	12%
Cash	12%
High Yield Bonds	4%
International Fixed	2%

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